# CausalGAN

# Learning Causal Implicit Generative Models with Adversarial Training

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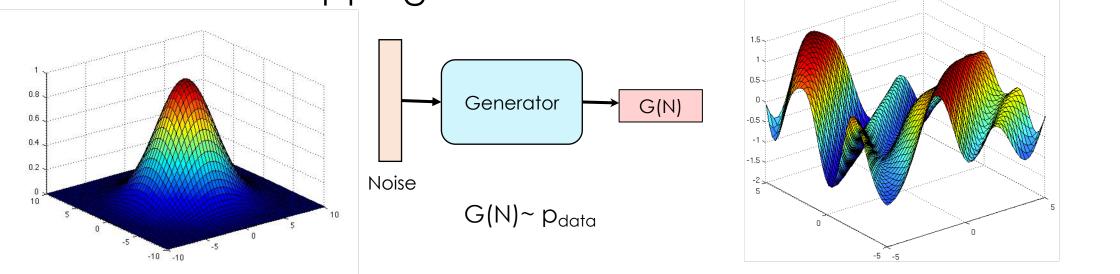
WHY'19 Symposium March 25, 2019 Based on joint work with

Chris Snyder Alex Dimakis Sriram Vishwanath

# Implicit Generative Models

• Allow sampling from distribution without explicit parameterization.

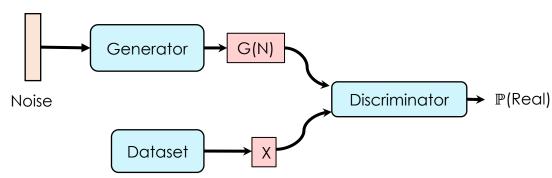
Learn a mapping from known noise to data distribution.



# **Generative Adversarial Networks**

[Goodfellow'14]

 Learning distribution with the help of adversary



 Generator – discriminator optimize opposite objectives

$$\min_{G} \max_{D} \mathbb{E}_{x \sim p_{data}(x)} [\log(D(x))] + \mathbb{E}_{x \sim p_{g}(x)} [\log(1 - D(x))]$$

Iterative training with stochastic gradient descent

# Improved GANs

- WGAN[Arjovsky' 17]:
   Optimizing Wasserstein metric instead of Jensen-Shannon divergence more stable training
- BEGAN[Berthelot'17]:
  Use auto-encoder in the discriminator. More realistic face images
- Many more (ProgressiveGAN, StyleGAN)

See https://github.com/hindupuravinash/the-gan-zoo

### Weaknesses of GANs

[Other than actually training them]

Can only sample from given data distribution.

No way to "dream of" new distributions.

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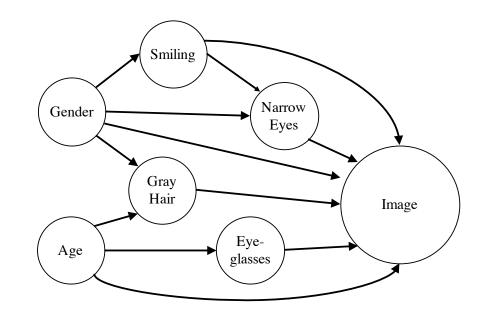
Our idea: Use causal knowledge to generate samples from interventional distributions.

Application:

 Causal image generation with labels.

# Bringing Causality into Generative Models

- Image generation w/ labels as a causal process
- Assume causal graph is given
- Assume Image is always the sink node

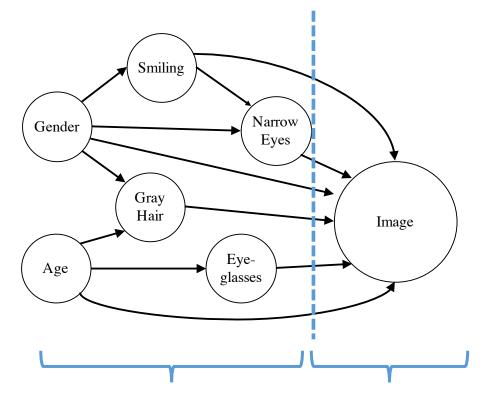


Challenge 1: Need to capture the causal structure.

Challenge 2: Training binary variables alongside image is difficult.

# Bringing Causality into Generative Models

- 1. How to capture causal models with neural nets
- 2. Train causal generative model for labels
- 3. Train a conditional GAN to sample the image given labels
- 4. Combine label and image generation



Causal Cond. generative model GAN for for labels image

### Causal Models from Neural Nets

Causal graph

$$X \rightarrow Z \leftarrow Y$$

• Structural equations:

$$X = f(E_X), Y = g(E_Y), Z = h(X, Y, E_Z)$$

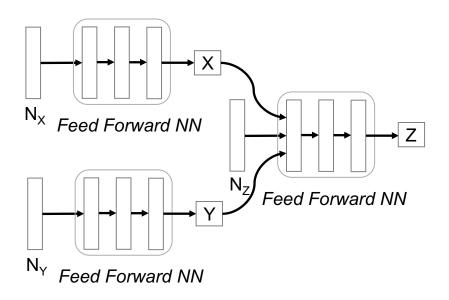
# Causal Models from Neural Nets

Causal graph

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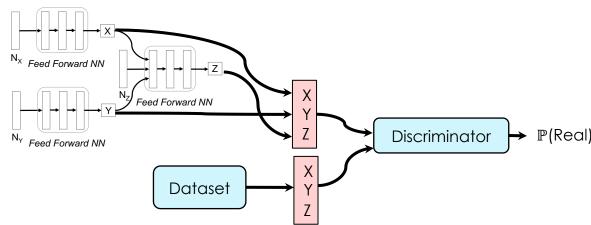
$$X = f(E_X), Y = g(E_Y), Z = h(X, Y, E_Z)$$



# Training Causal Implicit Generative Models

 Structure the generator based on causal graph

 Use GAN training Remark: Wasserstein GAN training for discrete labels



#### Theorem:

Correct causal graph + True observational distribution

True Interventional distributions [under strict positivity]

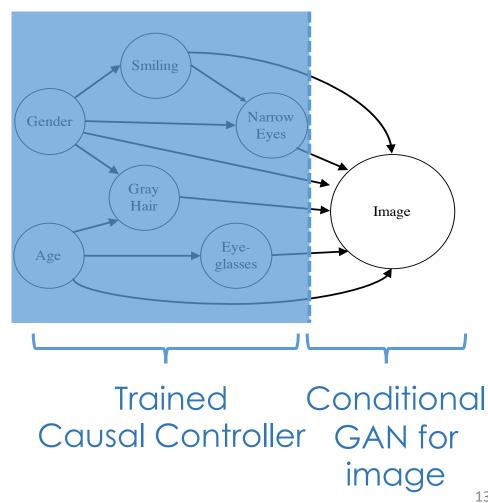
# CausalGAN: Causal Generative Model over Labels and Image

 Pre-train causal model over labels – causal controller

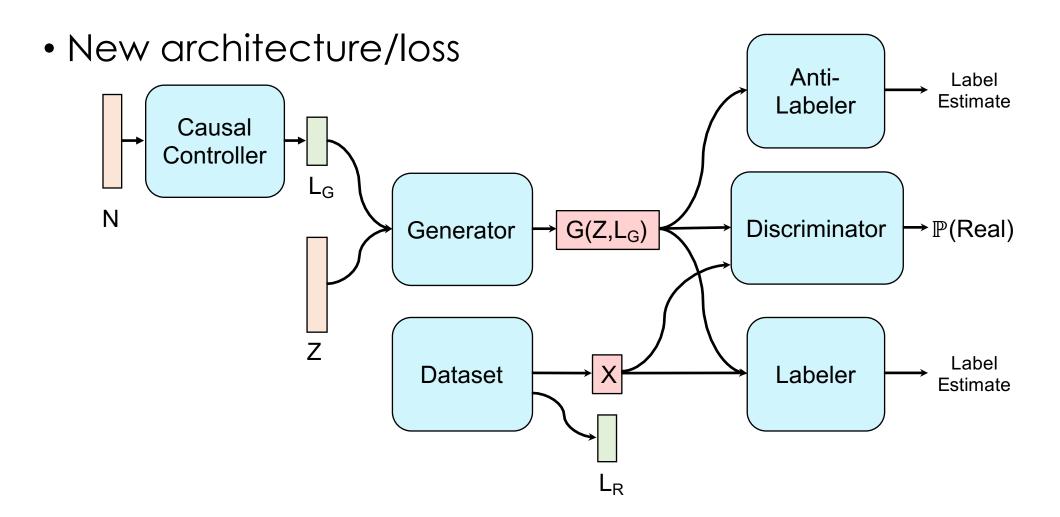
 Use a conditional GAN, given labels

 A new conditional GAN with theoretical guarantees

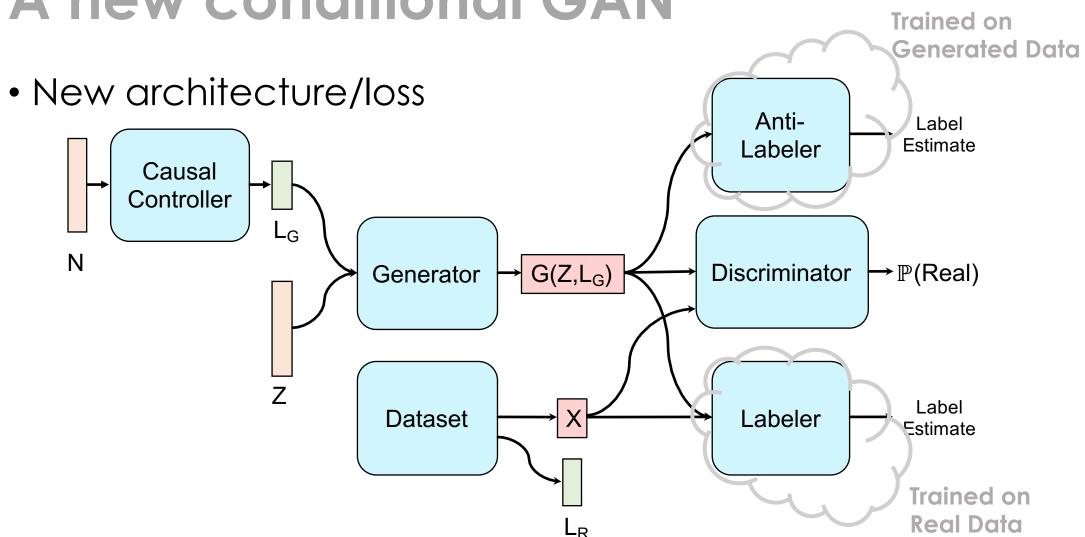
[CGAN w/ same guarantee]



# A new conditional GAN

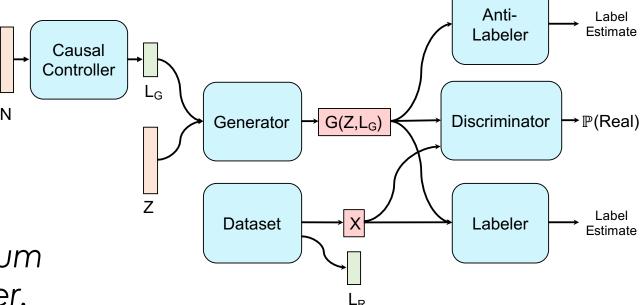


A new conditional GAN



• Generator minimizes Labeler loss, maximizes Anti-Labeler loss

# CausalGAN



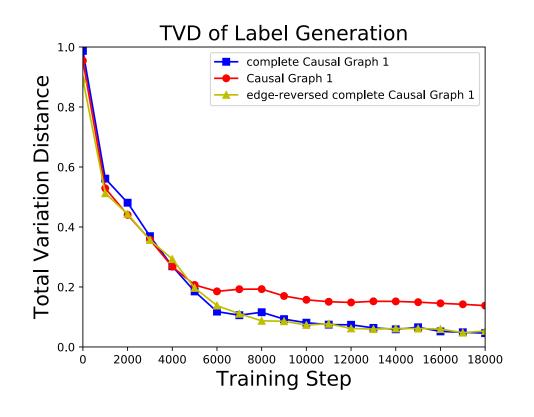
#### **Theorem:**

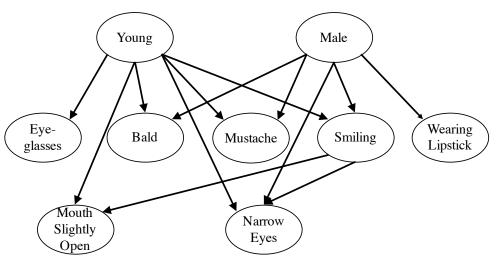
Optimize generator for the optimum Discriminator, Labeler, Anti-Labeler.

Then global optimal generator  $G^*$  samples from label conditioned image distributions:

$$\mathbb{P}(G^*(Z, l_G) = x) = \mathbb{P}(X = x | L_G = l_G)$$

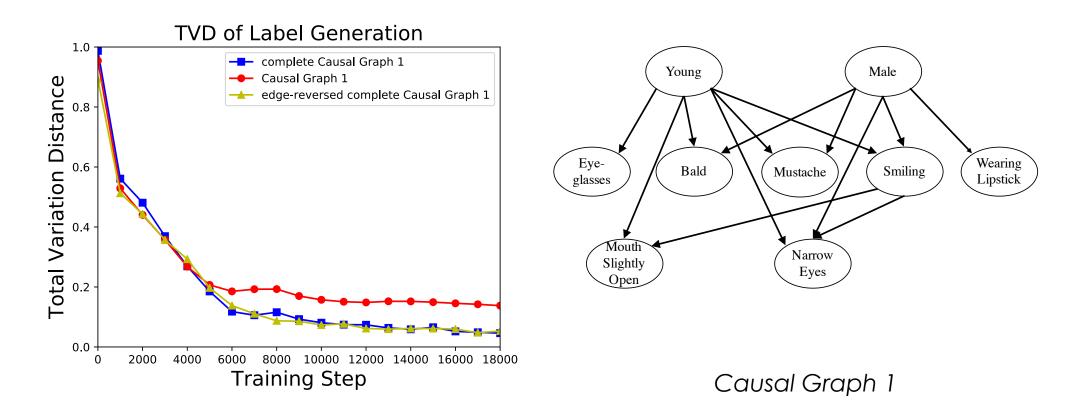
# Results: Wasserstein GAN Training of Labels





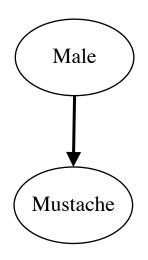
Causal Graph 1

# Results: Wasserstein GAN Training of Labels



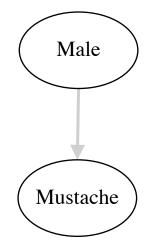
Remark: Correctness of causal direction does not affect how well NNs can fit.

# Results: CausalGAN





Conditioning on Mustache = 1

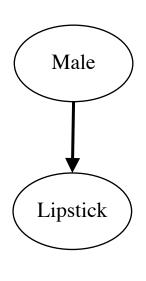




Intervening on Mustache = 1

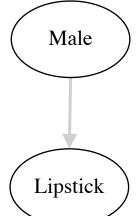
 $P(Male = 0 \mid do(Mustache = 1)) = P(Male = 0) \sim 0.6$ 

# Results: CausalGAN





Conditioning on Lipstick = 1

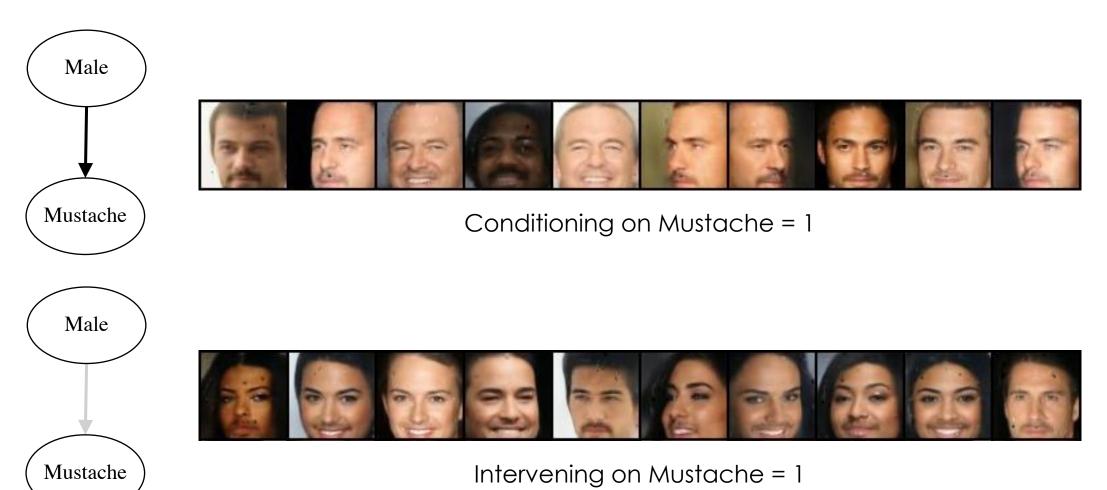




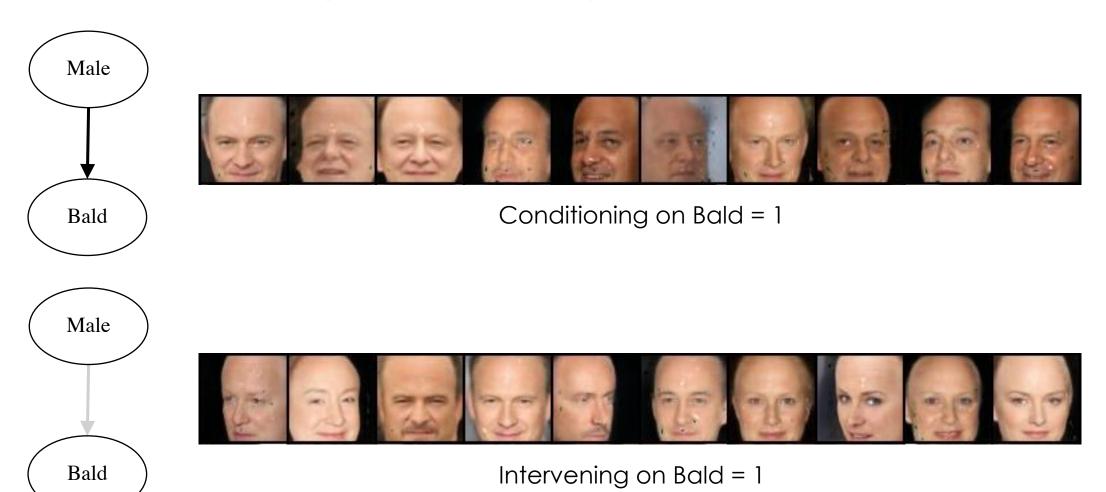
Intervening on Lipstick = 1

 $P(Male = 1 \mid do(Lipstick = 1)) = P(Male = 1) \sim 0.5$ 

# Results: CausalBEGAN



# Results: CausalBEGAN



### **Questions?**